

# TRADEWALK BROKING PRIVATE LIMITED

107, Tower-B, First Floor Unitechworld Cyber Park, Sector-39 Gurugram, Gurgaon 122003 (HR)

Email: [udit\\_agg@yahoo.com](mailto:udit_agg@yahoo.com) Web: [www.tradewalk.in](http://www.tradewalk.in) Phone: +91 9540062222

SEBI Reg. no. for NSE: INZ000296535

CIN: U65929HR2019PTC082856

## Tradewalk Broking private LIMITED

### Policy regarding treatment of Inactive Accounts

Tradewalk Broking private LIMITED as a matter of policy accepts and realizes that the Investors' community is made of traders as well as investors. Whereas traders trade frequently, the investors trade with long gaps. The inactive client policy is framed keeping the same in mind:

When is a client declared to be "inactive"?

A client may be declared as "inactive" for any of the following reasons:

#### A) PASSAGE OF TIME

In case of trading account, the term inactive account refers to such account wherein any of below mentioned activities Any client who has not been carried out by client since last 24 (Twenty-Four) months, shall automatically be moved to the "inactive" category.

1. Trading or participation in OFS/buy-back/Open Offer across any of the exchanges/segments\* of the exchanges through the same Member or \*Cash/Equity Derivative/ Currency Derivative/ Commodities Derivative/EGR /Debt/Online Bond Platform/ Execution Only Platform /Any other segment as may be allowed by SEBI/stock exchanges from time to time.

- Transaction in nature of applying/subscribing IPOs (where the IPO bid is successful & not cancelled)/SGBs/Mutual Funds (lumpsum investment or investments through successful SIP instalment payments) on the Mutual Fund platform of the stock exchanges through the same Member or

- Modification/updation of e-mail Id/Mobile Number/Address in KYC record of client through the same Member and the same has been uploaded to KRA to ensure Validated/Registered status.

2. The inactive accounts identified based on the above criteria shall be flagged as 'Inactive' by the Trading Member in UCC database of all the respective Exchanges

#### B) CLIENTS' REQUEST

A client may write to Tradewalk Broking private LIMITED stating that he/she wishes to transfer his/her account into an "inactive" category, based on which the account will be marked as such

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DIRECTOR

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## C) OPERATION OF LAW

A client may also be declared as "inactive", if the law so requires.

What happens when a client is declared inactive?

On a client being declared inactive, trading facilities of the client are temporarily suspended and the status of client is marked as "Inactive" in the UCC database.

### Return of Client Assets

The assets of clients' marked as Inactive shall be returned as per applicable Periodical Settlement directives.

### Procedure for re-activation of inactive accounts

To reactivate the account, the client is expected to write to Tradewalk Broking private LIMITED requesting for reactivation of the account along with updated KYC details, based on which the account would be activated after due diligence by Tradewalk Broking private LIMITED. Format of request letter and form for the purpose of re-activation of inactive accounts is available on Tradewalk Broking private LIMITED website i.e. <http://www.tradewalk.in>

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